

Affirming and rejecting assertions in German Sign Language (DGS)

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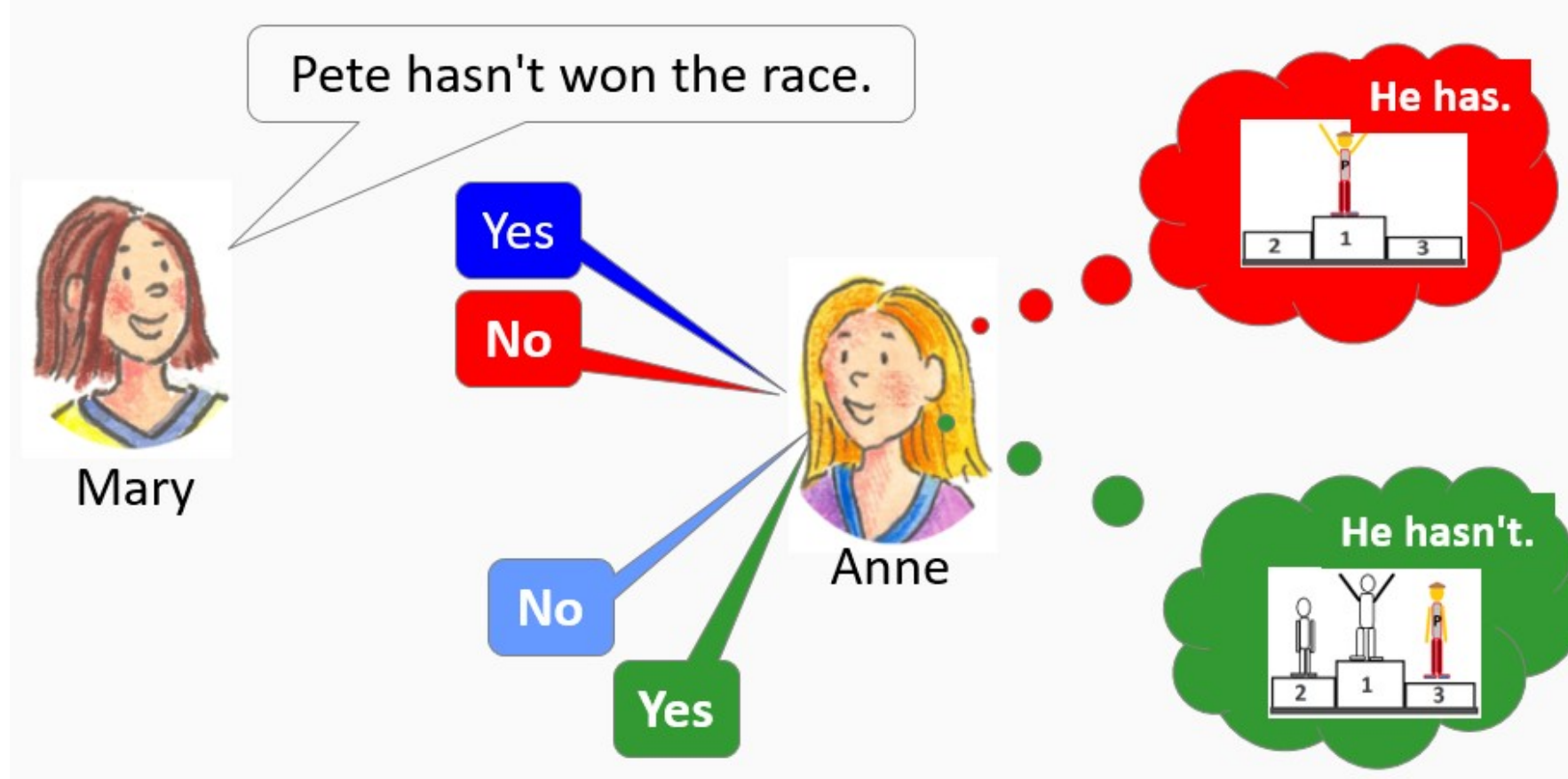
Introduction

THE ISSUE

Response particles do double duty:

- They **AFFIRM** and **REJECT**
- They signal that the answer expresses a **POSITIVE** or a **NEGATIVE** sentence.
- The meaning of *yes* and *no* is unclear in response to a negative assertion ('negative neutralization'; Kramer & Rawlins 2009)

Different languages seem to make different choices in how far *yes/no* preferentially signal **affirmation/rejection** or **polarity**. What exactly the preference patterns are is underexplored.



PREVIOUS STUDIES

Experimental results for German and English responses to negative assertions:

- **German** (Claus et al. 2017): Clear pattern in rejections, but great inter-individual variation in affirmations
 - Affirmations: *ja* > *nein* (majority); *nein* > *ja* (minority)
 - Rejections: *doch* > *nein* > *ja*
- **US English** (Brasoveanu, Farkas & Roelofsen 2013):
 - Affirmations: *no* > *yes*

THEORY: THE FEATURE MODEL (Roelofsen & Farkas 2015)

- Polarity is encoded via absolute [+/-] and relative [AGREE/REVERSE] features, which map onto response particles:

English: [+] & [AGREE] → yes, [-] & [REVERSE] → no

German: [+] & [AGREE] → ja, [-] & [REVERSE] → nein, [+ , REVERSE] → doch

- Feature mapping proceeds according to ranked OT constraints: REALIZE MARKED FEATURES, AVOID AMBIGUITY, EXPRESSIVENESS, REALIZE RELATIVE FEATURES, REALIZE ABSOLUTE FEATURES

CURRENT RESEARCH

1. Which response elements form part of the polar response system of DGS?
2. What meaning is contributed by a) manual particles, b) mouthing, and c) nonmanuals?
3. How are response elements combined a) simultaneously and b) consecutively?

Experimental design

DESIGN

- **Dialogue Completion Task** to elicit semi-spontaneous responses to positive and negative assertions
- **Participants:** 24 (near-) native DGS signers (17f, 7m, aged 18-55)
- **2 x 2 design:** antecedent polarity x response type (pos./neg.) (affirm/reject)
- **24 Items** x 4 conditions = 96 trials, distributed over 2 lists
- **Annotated so far:** Responses to negative assertions (576 tokens)



PROCEDURE & SAMPLE MATERIALS

Participants watched videos in DGS involving the two characters Peter and Alex.

Video of narrator:

Peter and Alex are elementary school teachers. They're organizing a school party with the help of some of the parents. **Alex just learnt that the parents have already bought the beverages.** A little later, Peter and Alex discuss the tasks assigned to the parents.

Video of Peter: PARENTS DRINK ALREADY FETCH

The parents have bought the beverages already.

top hs

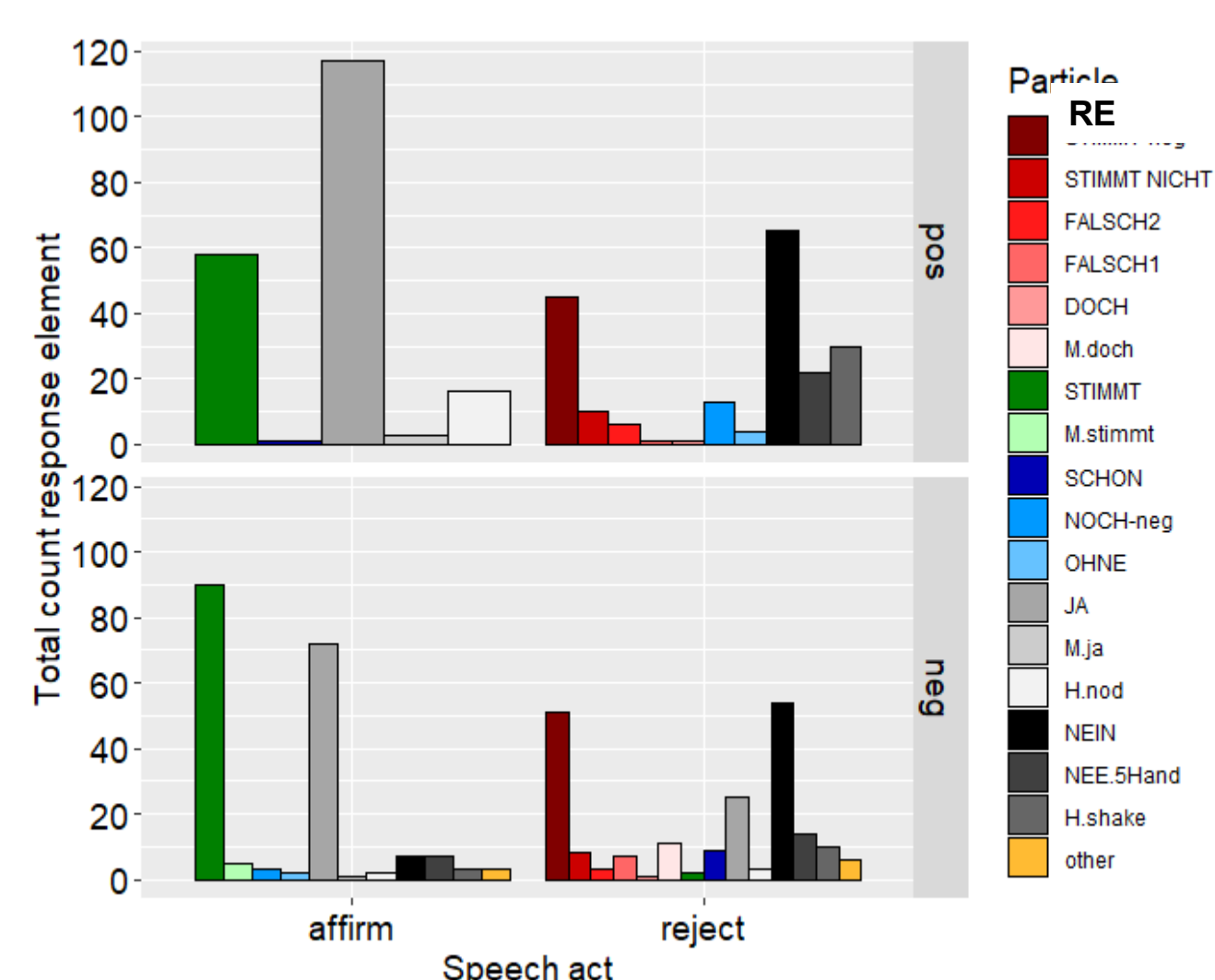
PARENTS DRINK FETCH NOT-YET

The parents haven't bought the beverages yet.

Results: First response elements (REs)

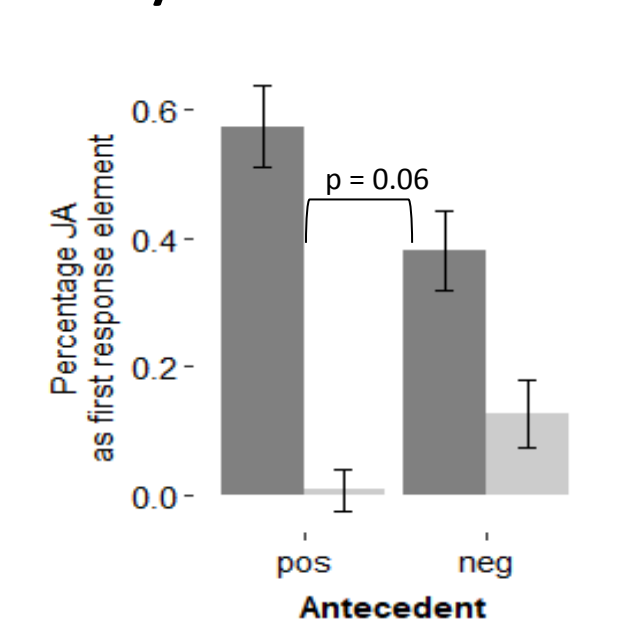
Types of RE

- rejecting [REVERSE]
- affirming [AGREE]
- polarity-indicating [+], [-]
- ambiguous

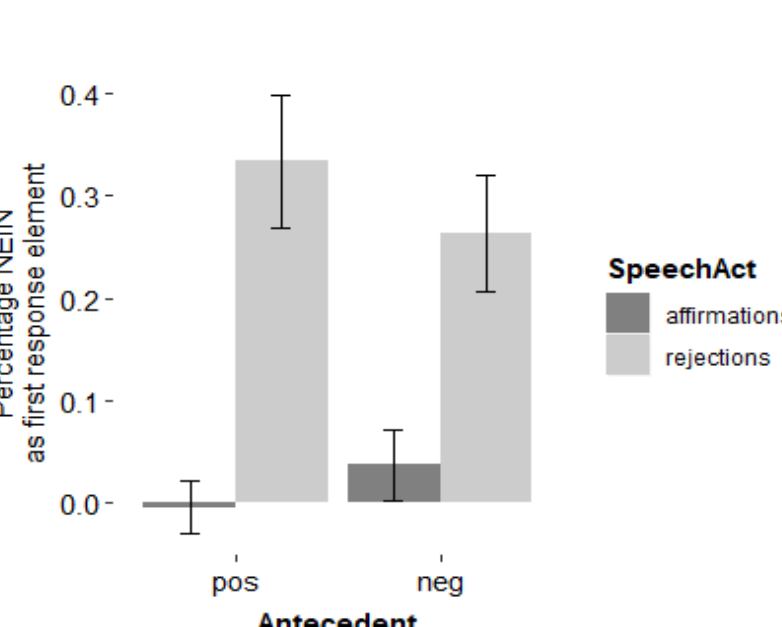


Analysis of ambiguous REs

JA 'yes'



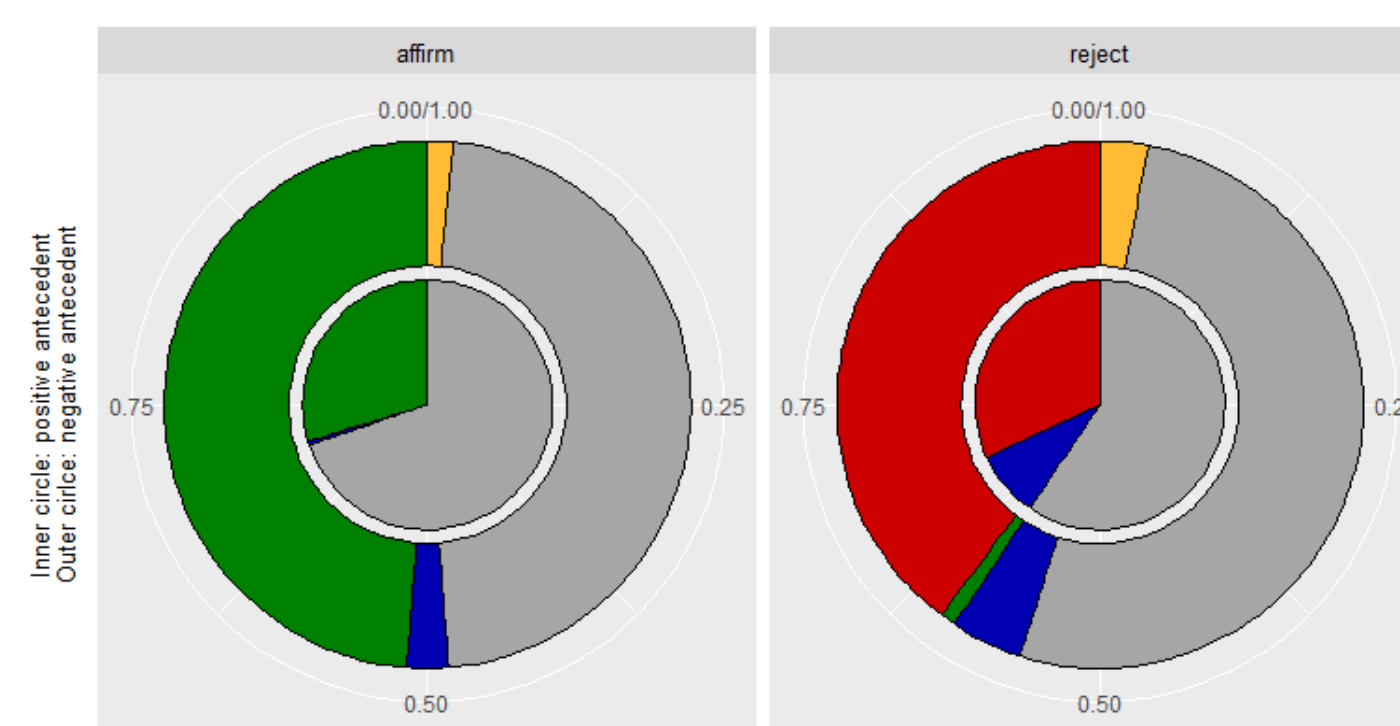
NEIN 'no'



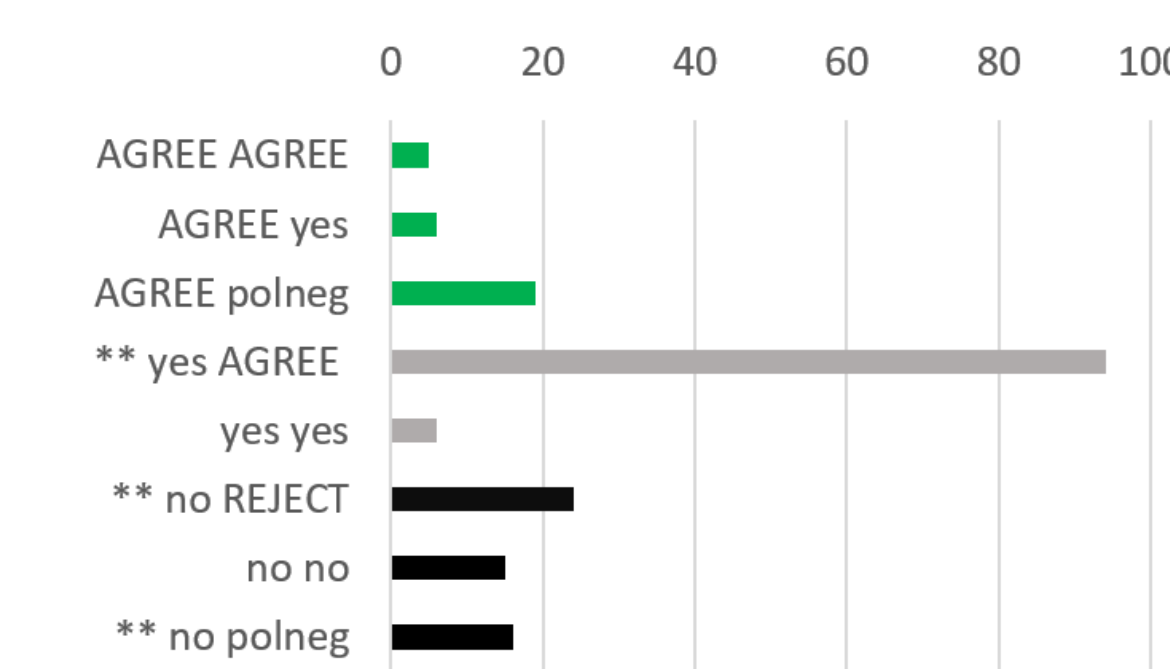
- Can encode absolute or relative features. Show a clear preference for realizing relative (truth-based) features → **REALIZE RELATIVE FEATURES** ranks highly

Reducing ambiguity

- Fewer ambiguous REs in responses to negative antecedents ($p < 0.001$ in affirmations)



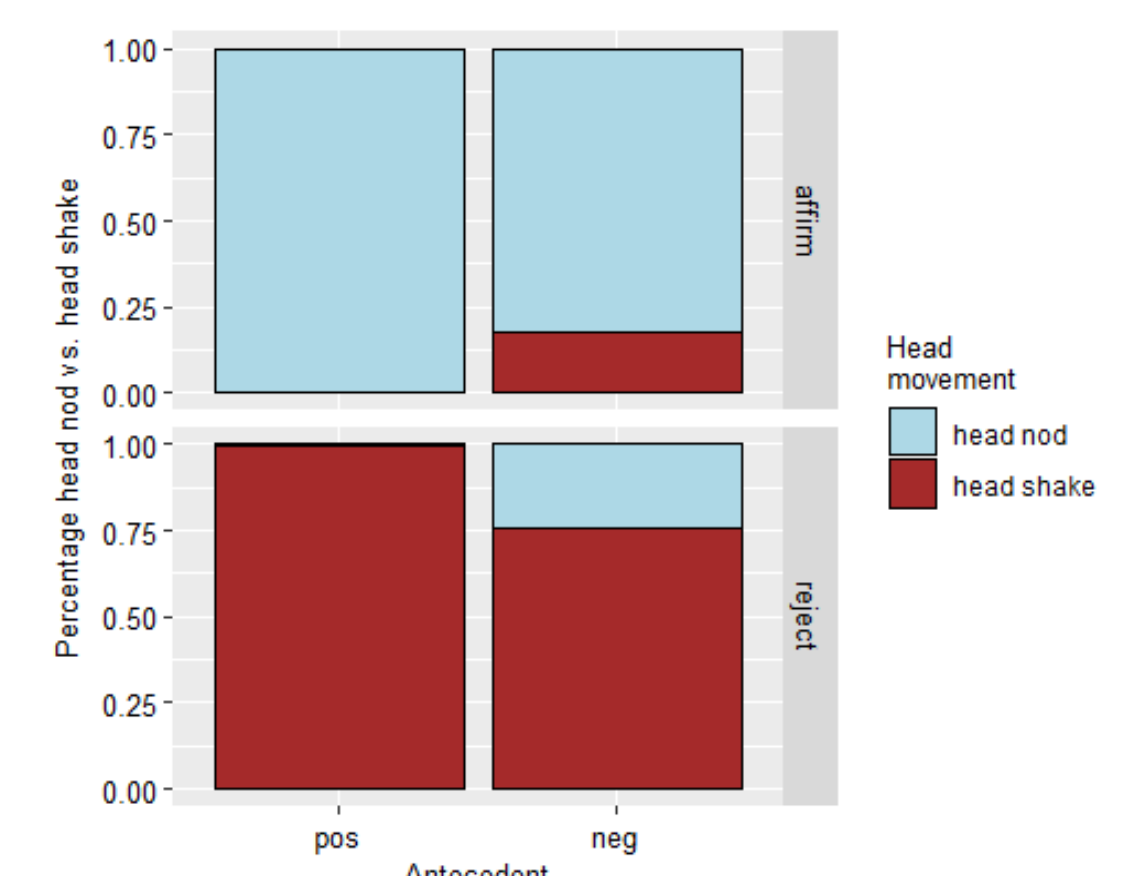
- A second RE may disambiguate an ambiguous RE1. In affirmations, RE2 is unambiguous more often following negative antecedents than positive ones ($p < 0.05$)



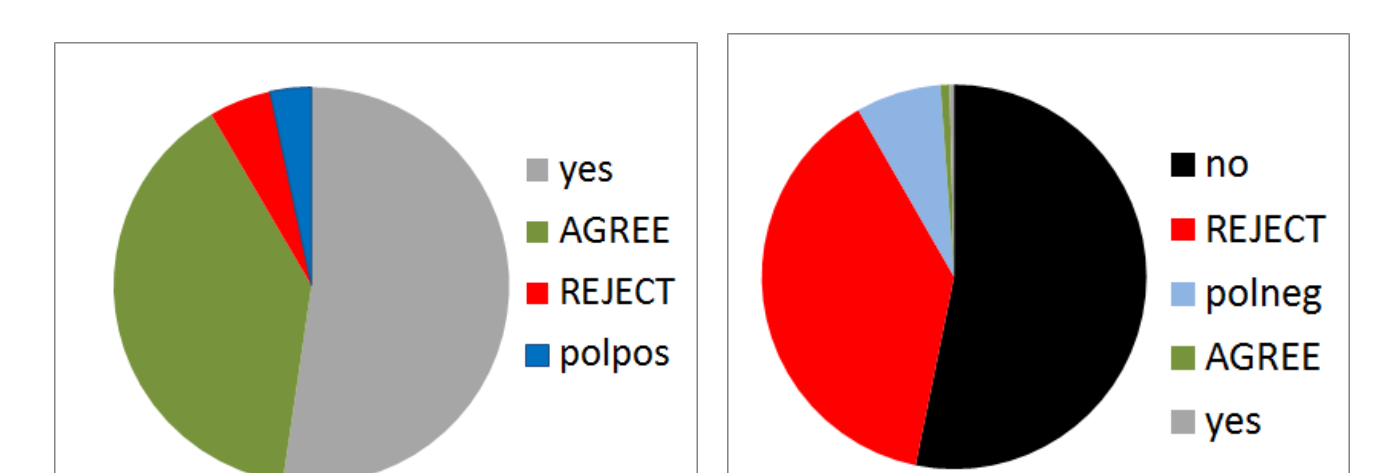
- Nonmanuals (head movement, brow movement, mouthing) occur more frequently with negative antecedents → **AVOID AMBIGUITY** is operative

Analysis of head movement

After neg. antecedents, head nods and shakes occur in affirmations and rejections; clear preference for encoding relative features ($p < 0.01$)



Head movement shows concord with RE1:



Rare mismatches indicate division of labor:

nod
NOT-RIGHT

References

Claus, Berry, A. Marlijn Meijer, Sophie Repp & Manfred Krifka 2017. Puzzling response particles: An experimental study on the German answering system. *Semantic and Pragmatics*. | Brasoveanu, Adrian, Donka Farkas & Floris Roelofsen. 2013. N-words and sentential negation: Evidence from polarity particles and VP ellipsis. *Semantics & Pragmatics* | Kramer, Ruth & Kyle Rawlins 2009. Polarity particles: an ellipsis account. In *Proceedings of the 39th Annual Meeting of the North East Linguistic Society*.